

Ethics Committee

Summary of Responses to Self-Assessment Questionnaire

Respondents were asked to answer each of the following questions with either a rating of 1-4 or a yes/no. The table provides the average rating given to each question and the number of people that gave each rating.

The meaning of the rating scale is as follows:

4 = Exceeds expectations

3 = Meets expectations

2 = Adequate but needs improvement

1 = Significant improvements needed

N/A=Not applicable, uncertain or do not know

	Question	Ave	4	3	2	1	N/A
Committee Objectives							
1.1	The Committee’s stated objectives represent the public interest and are in line with current international and national developments in the areas of relevance to the Committee.	3.05	3	14	2	0	0
1.2	The Committee’s Terms of Reference is periodically reviewed by the Committee and necessary changes (based on current developments) passed to the IFAC Board for approval.	3.10	2	7	1	0	9
1.3	Overall, do you believe that the Committee is achieving its stated objectives? If no, explain why not.	Yes – 17 responses No –2 responses					
Committee composition and membership							
2.1.1	The Committee members’ backgrounds provide for an appropriate combination of: (a) Relevant technical expertise, (b) Relevant practical experience	3.0	3	13	3	0	0
2.1.2	The Committee’s membership provides for the appropriate geographical spread.	2.79	1	13	5	0	0
2.2	Members of the Committee act, and are seen to act, in the public interest, and not in their own interest or in the interest of the organizations with whom they are associated.	2.89	1	15	3	0	0

	Question	Ave	4	3	2	1	N/A
2.3	The limitation on the number of years that a member is allowed to serve on the Committee and the compulsory retirement of a specified number of members every year contribute to the effectiveness and efficiency of the Committee.	2.83	3	11	2	2	1
2.4	If you have participated in the Committee’s orientation program for new members, do you believe that the program is appropriate?	3.0	2	4	0	1	12
2.5	The right of technical advisors to sit at the meeting table and participate in the discussions of the Committee is desirable (as opposed to technical advisors sitting away from the meeting table with a right to speak only at the request of the Committee member whom he/she is advising).	3.32	6	13	0	0	0
Due Process							
3.1	The Committee appropriately considers the needs of key stakeholders – in particular: (a) When approving and prioritizing proposals for new projects, and (b) When setting its agenda.	3.17	5	11	2	0	1
3.2	The Committee gives due consideration to comments received before a final pronouncement is issued.	3.47	9	10	0	0	0
3.3	The Committee follows its stated due process.	3.29	6	10	1	0	2
3.4	(a) Overall, do you believe that the Committee’s due process is sufficient, effective and efficient? (b) If no, explain why not.	Yes – 17 responses N/A –2 responses					
Operating procedures							
4.1	The Committee’s meetings are well organized, efficient, and effective.	3.26	7	10	2	0	0
4.2	The Committee’s meetings occur often enough and are of appropriate length to allow discussion of relevant issues consistent with the Committee’s responsibilities.	3.26	1	16	2	0	0
4.3	(a) The Committee chair actively solicits views from all members during meetings. (b) The Committee chair ensures that there are appropriate consensus views at key stages of a project.	3.58	11	8	0	0	0

	Question	Ave	4	3	2	1	N/A
4.4	The Committee's agenda-setting process is led by the Committee chair.	3.24	4	13	0	0	2
4.5	The Committee's meeting material is complete and contains the right information to allow meaningful discussion.	3.37	8	10	1	0	0
4.6	The Committee's meeting material is received with enough lead time to allow meaningful preparation.	3.05	4	12	3	0	0
4.7	Minutes and reports to the Committee, IFAC Board and other key stakeholders reflect the significant activities, actions, and recommendations of the Committee.	3.13	3	12	1	0	3
4.8	Committee task forces have the appropriate mix of members.	3.11	3	15	1	0	0
4.9	Where appropriate, the Committee effectively and efficiently liaises with other IFAC Committees.	2.67	1	10	7	0	1
4.10	The Committee's staff resources are: (a) Sufficient, and	3.26	5	14	0	0	0
4.10	(b) Competent to assist it in achieving its stated objectives.	3.67	4	2	0	0	0
4.11	(a) Committee's staff are assigned to the activities of the Committee in a way that leads to an effective and efficient utilization of available resources, and that takes account of the pressure on volunteer members. (b) Where Committee staff are assigned to activities other than those of the Committee, such assignments do not affect the effective and efficient operation of the Committee.	3.19	4	11	1	0	2